

Case No:  Site No:

Date of visit:  Inspector(s):

Point of compliance	Risk level	Satisfactory?	Requirement	Comments and advice given or action taken if necessary
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**ENHANCED CONTAINMENT INSPECTION (SEAWATER)**

**a. Enquiry relating to i) escape incidents and ii) contingency procedures**

1.1. Have escape incidents or events <sup>1</sup> been experienced on or in the vicinity of the site since the last MSS inspection? <b>If yes answer 1.2-1.8:</b>				
1.2. Have appropriate reports been made to Scottish Government within 24 hours of discovery?	High		AAAH Regs <sup>4</sup> 31D,E	
1.3. Have these been reported to the SSPO <sup>2</sup> and, where in existence, the local DSFB and fisheries trust?	Medium		CoGP 4.4.37, 5.4.17	
1.4. Were methods (if any) used to recover escapees? If yes give detail				
1.5 Was the decision to attempt to recapture and the method employed agreed with the local DSFB and FT	Low		CoGP 4.4.38, 5.4.18	
1.6. Was permission sought from Marine Scotland prior to recapture?	Medium		CoGP 4.4.38, 5.4.18	
1.7 Were the gill nets deployed in accordance with the permission issued by Marine Scotland?	Low		CoGP 4.4.38, 5.4.18	
1.8. In light of the escape event, has appropriate action been taken to prevent and minimise the risk of further escapes?	High			
1.9. Is there a site specific contingency plan in response to failures in containment, aimed at preventing escapes and recovering escaped fish?	High		SSI, 2,9	

**b(i). Inspection of records relating to equipment, facilities and the site**

General records	Risk level	Satisfactory?	Requirement	Comments and advice given or action taken if necessary	
2.1 With regard to each facility, net, screen and mooring at each site, a record should be maintained of:-			CoGP: 4.4.9, 4.4.14, SSI 2,1		
			Facilities	Moorings	Nets
a) The name of the manufacturer	Low				
b) Any special adaptations	Low				
c) The name of the supplier	Low				
d) The date of purchase	Low				

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e) Each inspection including i) the name of the person conducting the inspection ii) the date of each inspection iii) the place of each inspection iv) the outcome of each inspection f) the date and result of each repair, equipment test and antifouling treatment carried out	Low Medium Low High High			
2.2. In relation to each net a record of:	Medium Medium Medium Low		SSI, 2,2	
2.3. In relation to each facility a record of:	Low Low Low		SSI, 2,3	
2.4. In relation to each mooring a record of-	Low Low Low		SSI, 2,4	
2.5. A record of any navigation markers deployed at each site at which fish are farmed	Low		SSI, 2,5	
2.6 In respect of sites at which fish are farmed in inland waters <sup>3</sup>			SSI, 2,6	
a) The type, method of and date of construction of any flood prevention or flood defence measures in place	Low			
b) The date of and results of any tests conducted on any such measures	Low			
c) The date of any incident where the site was flood	Low			
d) The water course height during any such flood incident	Low			
2.6 A record of-			SSI, 2,7	
a) The date of any severe weather event which caused damage to any facility, net or mooring	Medium		SSI, 2,11 (a)	
b) Any action taken to rectify any such damage	High		SSI, 2,11 (b)	
Pen and mooring systems				
2.7 Are there documented procedures maintained regarding the selection and installation of pens and moorings?	High		CoGP 4.4.8, 4.4.13	

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2.8 Can the site demonstrate evidence that the design specification of pens and moorings are suitable for purpose and correctly installed?	High		CoGP 4.4.9, 4.4.14	
2.9 Do pen systems meet the manufacturers guidelines?	High		CoGP 4.4.10	
2.10 Are pen systems inspected and approved by suitably qualified / experienced person(s)?	High		CoGP 4.4.11	
2.11 Is there evidence of the competence of personnel involved in the design, installation and maintenance of pen and mooring systems?	High		CoGP 4.4.12, 4.4.15	
2.12 Are pen and mooring components inspected with a) a documented SOP b) a documented inspection plan based on a risk assessment	High		CoGP 4.4.16	
2.13 Do all nets used on site meet industry standards?	High		CoGP 4.4.17	
2.14 Can the site demonstrate an awareness of the minimum fish size in relation to net size	High		CoGP 4.4.19	
2.15 Does the net design, quality and standard of manufacture take into account the conditions that are likely to be experienced on site and include adequate safety margins?	High		CoGP 4.4.20	
2.16 Are nets treated with a UV inhibitor?	Low		CoGP 4.4.21	
2.17 Are nets tested at a pre-determined frequency?	High		CoGP 4.4.22	
2.18 Is the method of test procedure based upon the manufacturers advice?	High		CoGP 4.4.22	
2.19 Are frequent net inspections conducted to look for damage?	High		CoGP 4.4.23	
2.20 Are net inspection records maintained?	High		CoGP 4.4.23	
2.21 Is the system by which nets are attached to the pen and weighted inspected frequently?	High		CoGP 4.4.24	
2.22 Where damage to nets and/or associated fittings has occurred, or the potential for damage exists, has remedial action been taken?	High		CoGP 4.4.25	
<b>b(ii). Inspection of records relating to training</b>				
3.1 Are training programmes and plans relevant to the various onsite activities documented?	High		CoGP 7.1.8	
3.2 Is there a satisfactory record of all training and qualifications for each person working at the site in relation to any boat operations? (This excludes well boat operations)	High		SSI 2,6,a	

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3.5 With respect to any transfer of or handling of fish is there a record of all training of each person working on site in relation to containment and prevention of escape of fish, and recovery of escaped fish?	High		SSI 2,7,a	
<b>b(iii). Inspection of records relating to procedures and risk assessments</b>				
4.1 Are procedures which could increase the risk of fish escaping considered to be carefully planned and supervised to minimise risk?	High		CoGP 4.4.29, 5.4.12	
4.2 Before procedures are conducted on site, are the following in place:			CoGP 4.4.30, 5.4.13	
a) a documented risk assessments	High		SSI 2,7, b , SSI 2, 8, c	
b) standard operating procedures	High			
c) contingency plan	High			
4.3 In relation to any boat operations at each site at which fish are farmed is there a record of				
-The type and size of each boat used for operations on the site	Low		SSI 2,6,b	
- The type and size of any propeller guard fitted to each boat used on the site	Low		SSI 2,6,c	
4.4 Does the site suffer from regular or heavy predation?				
4.5 Are there records of site specific risk assessments ascertaining the risk of predator attack?	Medium		CoGP 4.4.26	
4.6 Are there risk assessments undertaken on a pre-determined frequency?	Low		CoGP 4.4.26	
4.7 A record of any anti-predator measures undertaken at each site at which fish are farmed including:			SSI, 2,8,a	
The type and location of each net, fence and scarer deployed	Medium			
- The use of lethal means by any person involved in operations on the site	Low		SSI, 2,8,b	
4.8 Where predator nets are deployed is the advice of Annex 7 considered?	Low		CoGP 4.4.27	
<b>c. Inspection of site and site equipment</b>				
5.1 Are there any obvious containment issues on the site?	High			
5.2 Is the net mesh size considered to be capable of containing all fish sizes present on site?	High		CoGP 4.4.18	

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5.3 Do nets carry numbered ID tags?	Low		SSI 2,2 ii	
Look at a percentage of nets on site - Does the net location meet the inventory?	Low			
5.4 Are nets stored away from direct sunlight?	Low		CoGP 4.4.21	
5.6 Are appropriate measures in place to mitigate predation on site? (Provide detail if necessary)				
5.7 Are boat operations conducted in such a manner which prevents damage to nets and pens?	High		CoGP 4.4.28	
5.8 Is there a requirement for navigation markers to be deployed?	Low		MSA <sup>5</sup> 2010 P4, S21	
5.9 If yes, has this been done in accordance with the necessary requirements?	Low		MS Marine licence	
5.10 If Yes to 5.8 is there a record of any navigation markers deployed?	Low		SSI 2,5	

**d. Inspection of site specific procedures**

6.1 Are pen nets examined for holes, tears or damage prior to and during the stocking, moving or crowding of fish?	High		CoGP 4.4.31	
6.2 If helicopter transfer of fish is conducted are receiving pen(s) properly prepared:-			CoGP 4.4.32	
a) nets should be secure	High			
b) pens should be marked with buoys clearly visible from the air	High			
c) radio contact between farm staff and helicopter crew should be maintained or where this is not possible, pens receiving fish should be manned	High		CoGP 4.4.33	
Consideration should be given to all other site procedures being undertaken during the visit with respect to containment and the risk of fish farm escapes				

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<b>Additional actions</b>	<b>Powers</b>			<b>Comments and advice given or action taken if necessary</b>
<b>e) Collection of samples</b> If necessary collect samples. Indicate if samples have been taken and detail what those samples are and the purpose of their collection	Power granted under the Act – section 5 (3) (a)			
<b>h) Enforcement Notice.</b> If an enforcement notice has been issued then maintain a copy / duplicate and record detail Guidance on completing the Enforcement Notice	Power granted under the Act – Section 6 (2)			

1 An 'escape event' can be defined as any circumstances on or in the vicinity of a fish farm which are believed to have caused an escape, or which may have given rise to a significant risk of an escape of fish.

2 FHI interpretation – Informing the SSPO is only a requirement where the site belongs to an Authorised Production Business which is signed up to the CoGP.

3 being waters which do not form part of the sea or any creek, bay or estuary or of any river as far as far as the tide flows

4 The Aquatic Animal Health (Scotland) Regulations 2009 (as amended)

5 The Marine Scotland Act 2010